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| <b>From:</b> | Robert D. Atkins           | 602-229-5690 | 602-229-5311 |
| <b>Re:</b>   | U.S. Serial No. 09/902,883 |              |              |

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PTO/SB/21 (03-03)

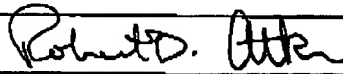
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| <h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p> | Application Number   | 09/902,883             |              |
|   | Filing Date          | 07-11-01               |              |
|   | First Named Inventor | Meichun Ruan           |              |
|   | Group Art Unit       | 2874                   |              |
|   | Examiner Name        | Song, Sarah U.         |              |
| Total Number of Pages in This Submission  | 3                    | Attorney Docket Number | 112624.00158 |

| ENCLOSURES (check all that apply)  |   |  |
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| Remarks  |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Robert D. Atkins, 34,288  |
| Signature                                  |  |
| Date                                       | April 25, 2005  |

| CERTIFICATE OF TRANSMISSION/MAILING   |   |
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|                        |                |
|------------------------|----------------|
| Application Number     | 09/902,883     |
| Filing Date            | July 11, 2001  |
| First Named Inventor   | Melchun Ruan   |
| Art Unit               | 2874           |
| Examiner Name          | Song, Sarah H. |
| Attorney Docket Number | 112624.00158   |

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 26707☐ Please change the correspondence address for the above-identified application to:☐ The address associated with  
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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

|           |   |           |              |
|-----------|---|-----------|--------------|
| Name      | Paul C. Johnson, Associate VP for Research, Arizona Board of Regents, acting for and on behalf of |           |              |
| Signature | [Signature] Arizona State University  |           |              |
| Date      | 4/14/05   | Telephone | 480-965-5670 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

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### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Meichun Ruan et al.

Application No./Patent No.: 09/602,883 Filed/Issue Date: July 11, 2001

Entitled: Optical MEMS switching array with embedded beam-confining channels and method of operating:

Arizona Board of Regents, acting for and on, a University  
(Name of Assignee) behalf of Arizona State (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is: University

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014280, Frame 0079, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
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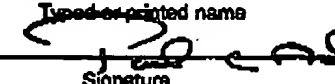
☐ Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4/14/05  
Date  
480-965-5670  
Telephone number

Paul C. Johnson  
Typed or printed name  
  
Signature

Associate VP for Research  
Title

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